

OCT 07 2005

PATENT

Docket No. MTI-31041

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Ping, et al. ; Group Art Unit: 2812  
Serial No. : 09/816,962 Examiner : A. Roman  
Filing Date : March 23, 2001 Confirmation No. : 8677  
For: Method For Forming Raised Structures by Controlled Selective Epitaxial Growth  
of Facet Using Spacer

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being transmitted by facsimile to  
Fax No. 571-273-8300 to Angel Roman at the U.S. Patent and Trademark Office

Date: October 7, 2005Patricia Pickun

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, the Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103.

All references listed on the enclosed Form PTO 1449 were previously cited in the related divisional applications, USSN 10/046,497 and 10/379,494. Copies of these documents are not provided because they are available in one of the divisional applications.

Return of the enclosed Form 1449 in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,

Kristine M. Strodthoff

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Dated: October 7, 2005

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Patent

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Attorney Docket No. MTI-31041

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Alexandria, VA 22313  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31041	09/816,962
	Applicant	Confirmation No.
	Er-Xuan Ping	8677
	Filing Date	Group Art Unit
	March 23, 2001	2812

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Publication/ Issue Date	Patentee	U.S. Class	Sub-Class
	US 20040175893	09/09/2004	Vatus	438	300
	6,074,478	06/13/2000	Oguro	117	95
	5,864,180	01/26/1999	Hori et al.	257	774
	5,863,826	01/26/1999	Wu et al.	438	423
	5,316,962	05/31/1994	Matsuo et al.	437	52
	5,304,834	04/19/1994	Lynch	257	374
	4,757,027	07/12/1988	Vora	437	39
	4,442,178	04/10/1984	Kimura et al.	428	446

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial	Non-Patent Document
	Ginsberg et al., "Selective epitaxial growth of silicon and some potential application," <i>IBM J. Res Develop.</i> 34(6): November 1990.

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

MKE/1076815.1

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